

08/09/00
JCS69 U.S. PTO
09/635390

08-10-00
LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

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09/635390
08/09/00

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SONY-T0949

First Named Inventor KATASHI NAGAO

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
DOCUMENT PROCESSING APPARATUS HAVING AN AUTHORIZING CAPABILITY FOR DESCRIBING
A DOCUMENT STRUCTURE

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date August 9, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL387335326US addressed to: Box: Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Elizabeth Reicker

(Name of person mailing paper)

Elizabeth Reicker
(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 140 Pages of specification (including claims and abstract);
 - ii. 45 Sheets of drawings.
 ___ formal ☒ informal
3. Declaration or oath
 - a. ☒ Unsigned

ACCOMPANYING APPLICATION PARTS

4. ___ An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. ___ 37 CFR 3.73(b) Statement (when there is an assignee)
5. ☒ Power of Attorney (unsigned)
6. ___ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ___ references.
7. ☒ Preliminary Amendment.
8. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
9. FOREIGN PRIORITY
 - [x] Priority of application no. P11-227532 filed on August 11, 1999 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

- ☒ is filed herewith; or
___ has been filed in prior application no. ___ filed on __, or
___ will be provided.

___ English Translation Document (if applicable)

10. FEE CALCULATION

- a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$690)
Total Claims	32 - 20	* 12	x \$18.00	216.00
Independent Claims	4 - 3	* 1	x \$78.00	78.00
<input type="checkbox"/> Multiple dependent claim(s), if any			\$260.00	0

*If less than zero, enter "0".

Filing Fee Calculation \$984.00

50% Filing Fee Reduction (if applicable) \$

11. Small Entity Status

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

12. Other Fees

- ☐ Recording Assignment [\$40.00] \$0
☐ Other fees \$0
Specify _____ \$0

Total Fees Enclosed \$984.00

13. Payment of Fees

- ☒ Check(s) in the amount of \$ 984.00 enclosed.
☐ Charge Account No. 12-1420 in the amount of \$ ____.
A duplicate of this transmittal is attached.

14. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111
Telephone: 415/433-4150
Facsimile: 415/433-8716

15. Authorization to Charge Additional Fees

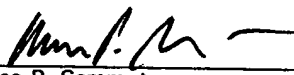
- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

August 9, 2000
(Date)

Attorney Docket No. SONY-T0949
[S00P0949US00]

By:


Charles P. Sammut
Registration No. 28,901
Attorney(s) or Agent(s) for Applicant(s)

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